## Analytic Number Theory Solutions

## Solution to Problem 1. We have

$$\frac{d(n)}{n^{1/4}} = \prod_{i} \frac{1+e_i}{p^{e_i/4}},$$

where  $n = \prod p_i^{e_i}$ .

By the proof of Theorem 2.9

and

$$\frac{1+e_i}{p^{e_i/4}} \le \frac{4}{\log 2} \text{ for } p_i \le 16$$

 $\frac{1+e_i}{p^{e_i/4}} \le 1 \text{ for } p_i \ge 16$ 

Thus, for all  $n \in \mathbb{N}$ ,

$$d(n) \le \frac{4^6}{(\log 2)^6} \cdot n^{1/4}.$$

We need to find 
$$n_0$$
 such that for all  $n \ge n_0$  we have

$$\frac{4^6}{(\log 2)^6} < n^{1/4}$$

which is guaranteed by  $n > 1.9 \times 10^{18} \ge (4/\log 2)^{24}$ .

For primes  $p \leq 16$  we may have a better bound on  $(1+e_i)/p_i^{e_i/4}$ . One needs to find the maximum values of the functions  $g_p(x) = \frac{1+x}{p^{x/4}}$  for p = 2, 3, 5, 7, 11, 13. By taking derivative we see that  $g'_p(\frac{4}{\log p} - 1) = 0$ , while for  $x > \frac{4}{\log p} - 1$ ,  $g_p(x)$  is decreasing. By some elementary calculations we can obtain a better bound.

Solution to Problem 2. We use the partial summation formula with f(n) = d(n) and F(x) = 1/x. By Theorem 2.10 we have  $S(X) = \sum_{1 \le n \le X} d(n) = X \log X + O(X)$ . Hence,

$$\sum_{1 \le n \le X} \frac{d(n)}{n} = \sum_{1 \le n \le X} f(n)F(n)$$
  
=  $(X \log X + O(X))\frac{1}{X} - \int_{1}^{X} (x \log x + O(x))\frac{-1}{x^2} dx$   
=  $\log X + O(1) + \int_{1}^{X} \frac{1}{x} \log x \, dx + O\left(\int_{1}^{X} \frac{1}{x} \, dx\right)$   
=  $\log X + O(1) + \frac{1}{2} \log^2 X + O(\log X)$   
=  $\frac{1}{2} \log^2 X + O(\log X) + O(1).$ 

In the above equation we have used the integration by parts with  $f(t) = \log t$  and  $g(t) = \log t$ . This implies the desired asymptotic relation.

Solution to Problem 3. Using the partial summation with f(n) = 1, F(x) = 1/x we have

$$s(X) = \sum_{1 \le n \le X} 1 = [X],$$

and hence

$$\begin{split} \sum_{1 \le n \le X} \frac{1}{n} &= \sum_{1 \le n \le X} f(n) F(n) \\ &= [X] \frac{1}{X} - \int_{1}^{X} [x] \frac{-1}{x^2} \, dx \\ &= \frac{[X] - X + X}{X} + \int_{1}^{X} \frac{[x] - x}{x^2} \, dx + \int_{1}^{X} \frac{1}{x} \, dx \\ &= 1 + O(\frac{1}{X}) + \int_{1}^{X} \frac{[x] - x}{x^2} \, dx + \log X \\ &= \gamma + O(\frac{1}{X}) + \log X. \end{split}$$

Solution to Problem 4. We use Lemma 2.11 with the increasing function f(x) = x to obtain

$$\int_{1}^{[x]} x \, dx \le \sum_{n \le x} n \le [x] + \int_{1}^{[x]} x \, dx,$$

which reduces to

$$\frac{[x]^2}{2} \le \sum_{n \le x} n \le [x] + \frac{[x]^2}{2}$$

Hence,

$$\begin{split} \sum_{n \leq x} n &- \frac{1}{2} x^2 \leq [x] + \frac{[x]^2 - x^2}{2} + \frac{x^2}{2} - \frac{1}{2} x^2 \\ &= [x] + \frac{([x] - x)([x] + x)}{2} \\ &\leq O(x). \end{split}$$

Similarly,

$$\sum_{n \le x} n - \frac{1}{2}x^2 \ge \frac{[x]^2 - x^2}{2} + \frac{x^2}{2} - \frac{1}{2}x^2$$
$$= \frac{([x] - x)([x] + x)}{2}$$
$$\ge -x.$$

The above two inequalities imply that  $\sum_{n \leq x} n = x^2/2 + O(x)$ .

Moving to the next stage, we have

$$\begin{split} \sum_{n \leq x} \sigma(n) &= \sum_{n \leq x} \sum_{v \mid n} v \\ &= \sum_{u \leq x} \sum_{v \leq x/u} v \\ &= \sum_{u \leq x} \left( \frac{(x/u)^2}{2} + O(x/u) \right) \\ &= \frac{1}{2} x^2 \sum_{u \leq x} u^{-2} + x (\sum_{u \leq x} 1/u) \\ &= \frac{1}{2} x^2 \sum_{u \leq x} u^{-2} + O(x(1 + \log x)). \\ &\sum_{u > x} \frac{1}{u^2} \leq \sum_{u > x} \frac{1}{u^2 - u} = \sum_{u > x} \left( \frac{1}{u - 1} - \frac{1}{u} \right) \leq \frac{1}{[x]} \leq \frac{2}{x}. \end{split}$$
  
Also, recall that  $\sum_{n=1}^{\infty} 1/n^2 = \pi^2/6$ . Combining these with the above equation we obtain

$$\begin{split} \sum_{n \le x} \sigma(n) &- \frac{\pi^2}{12} x^2 = \frac{1}{2} x^2 \sum_{u \le x} u^{-2} + O(x(1 + \log x)) - \frac{\pi^2}{12} x^2 \\ &= \frac{1}{2} x^2 (\frac{\pi^2}{6} - \sum_{u > x} \frac{1}{u^2}) + O(x(1 + \log x)) - \frac{\pi^2}{12} x^2 \\ &= \frac{1}{2} x^2 (-\sum_{u > x} \frac{1}{u^2}) + O(x(1 + \log x)) \\ &= O(x) + O(x(1 + \log x)) = O(x(1 + \log x)). \end{split}$$

Solution to Problem 5.

$$\sum_{n \le X} d(n) = \sum_{n \le X} \sum_{v|n} 1$$
$$= \sum_{u,v \ge 1, uv \le X} 1$$
$$= \sum_{u \le \sqrt{X}} \sum_{v \le X/u} 1 + \sum_{v \le \sqrt{X}} \sum_{u \le X/v} 1 - \sum_{u \le \sqrt{X}} \sum_{v \le \sqrt{X}} 1$$

On the other hand,

$$\begin{split} \sum_{u \leq \sqrt{X}} \sum_{v \leq X/u} 1 &= \sum_{u \leq \sqrt{X}} \left[\frac{X}{u}\right] \\ &= X \sum_{u \leq \sqrt{X}} \frac{1}{u} + O(\sqrt{X}) \\ &= X \left(\log \sqrt{X} + \gamma + O(1/\sqrt{X})\right) + O(\sqrt{X}) \\ &= \frac{1}{2} X \log X + X \gamma + O(\sqrt{X}) \end{split}$$

The second sum is equal to the above one. For the third sum we have

$$\sum_{u \le \sqrt{X}} \sum_{v \le \sqrt{X}} 1 = \sum_{u \le \sqrt{X}} [\sqrt{X}]$$
$$= \sqrt{X}\sqrt{X} + O(\sqrt{X}) = X + O(\sqrt{X}).$$

Combining the above equations we obtain the desired asymptotic formula.

Solution to Problem 6. Let

$$S_0 = \{ \operatorname{Re}(s) : s \in \mathbb{C}, \sum_{n=1}^{\infty} |f(n)n^{-s}| \text{ is convergent} \},\$$

and

$$S_1 = \{ \operatorname{Re}(s) : s \in \mathbb{C}, \sum_{n=1}^{\infty} f(n)n^{-s} \text{ is convergent} \}.$$

By the definitions  $\inf S_0 = \sigma_0$  and  $\inf S_1 = \sigma_1$ .

Let s be a complex number such that  $\sum_{n=1}^{\infty} f(n)n^{-s}$  is convergent. Then, by the convergence criteria the terms of the series must tend to zero. In particular, there is  $n_0$  such that for all  $n \ge n_0$  we have  $|f(n)n^{-s}| \le 1$ .

Assume s' be a complex number with  $\operatorname{Re}(s') > \operatorname{Re}(s) + 1$ . Define  $\eta = s' - s$  so that  $\operatorname{Re}(\eta) > 1$ . Then,

$$\sum_{n=n_0}^{\infty} \left| \frac{f(n)}{n^{s'}} \right| = \sum_{n=n_0}^{\infty} \left| \frac{f(n)}{n^s} \right| \left| \frac{1}{n^{\eta}} \right| \le \sum_{n=n_0}^{\infty} \frac{1}{n^{\operatorname{Re}(\eta)}} < \infty.$$

This implies that s' belongs to  $S_0$ , that is,  $\sigma_0 \leq \operatorname{Re}(s) + 1$ .

By the above argument,  $\sigma_0 - 1 - \delta \notin S_1$ , for every  $\delta > 0$ . That is,  $S_1$  is bounded from below. Moreover,  $S_1$  contains  $S_0$  and is not empty. These imply that  $S_1$  has an infimum. Finally, we have

$$\sigma_0 = \inf_{S_1} \sigma_0 \le \inf_{S_1} \operatorname{Re}(s) + 1 \le \sigma_1 + 1.$$

This finishes the proof of the statement.

The other inequality follows from  $S_0 \subseteq S_1$ , that is,  $\inf S_1 \leq \inf S_0$ .

Solution to Problem 7. The sum  $F(s) = \sum_{n=1}^{\infty} f(n)n^{-s}$  is convergent at  $s = s_0$ .

Consider the arithmetic function  $g(n) = f(n)n^{-s_0}$  and denote its partial sum with

$$S(x) = \sum_{n \le x} f(n) n^{-s_0}.$$

Fix a complex constant s with  $\operatorname{Re} s > \operatorname{Re} s_0$ . Let  $G(x) = x^{s_0-s}$ , for x > 0. Using the partial summation formula we have

$$\sum_{n=1}^{N} f(n)n^{-s} = \sum_{n=1}^{N} f(n)n^{-s_0} \cdot n^{s_0-s}$$
$$= \left(\sum_{n=1}^{N} f(n)n^{-s_0}\right) \cdot N^{s_0-s} - \int_{x=1}^{N} S(x)(s_0-s)x^{s_0-s}\frac{1}{x}\,dx$$
$$= \left(\sum_{n=1}^{N} f(n)n^{-s_0}\right) \cdot N^{s_0-s} - (s_0-s)\int_{x=1}^{N} S(x)x^{s_0-s-1}\,dx$$

Now we take limit at N tends to  $\infty$ . We have

$$\lim_{N \to \infty} \sum_{n=1}^{N} f(n)n^{-s} = F(s),$$

and on the other hand

$$\lim_{N \to \infty} \sum_{n=1}^{N} f(n) n^{-s_0} = F(s_0)$$

is finite by the assumption, which implies that

$$\lim_{N \to \infty} \left( \sum_{n=1}^N f(n) n^{-s_0} \right) \cdot N^{s_0 - s} = 0$$

Thus,

$$F(s) = (s_0 - s) \int_{x=1}^{\infty} S(x) x^{s_0 - s - 1} dx$$

The infinite integral is finite since |S(X)| is uniformly bounded from above, and  $\operatorname{Re}(s) > \operatorname{Re} s_0$ .

Let  $s \in \mathbb{C}$  with  $\operatorname{Re} s > \sigma_1$ . By the definition of  $\sigma_1$ , there is  $s_0$  with  $\sigma_1 < \operatorname{Re} s_0 < \operatorname{Re}(s)$  such that  $\sum_{n=1}^{\infty} f(n)n^{-s_0}$  is convergent. In particular the partial sums of this series are uniformly bounded from above in absolute value, and tend to  $F(s_0)$  Also note that  $\operatorname{Re} s_0 - s - 1 < 1$ . Therefore, by the above formula

$$\int_{x=1}^{\infty} S(x) x^{\operatorname{Re}(s_0-s-1)} \, dx < \infty.$$

is well-defined.

Solution to Problem 8. Let  $s = \sigma + it$ . We know that

$$\sum_{n=1}^{\infty} \frac{|(-1)^{n-1}|}{|n^s|} = \sum_{n=1}^{\infty} \frac{1}{n^{\sigma}}$$

is convergent if and only if  $\sigma > 1$ . This implies that  $\sigma_0 = 1$ .

On the other hand, for  $\sigma \leq 0$ , the series  $\sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n^{\sigma}}$  is divergent. However, for every  $\sigma > 0$ , by the alternating series test the series  $\sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n^{\sigma}}$  is convergent. (need to see that the sequence  $1/n^{\sigma}$  is monotone decreasing!). This implies that  $\sigma_1 = 0$ .

We need to build an example of a Dirichlet series such that  $\sigma_1 = \alpha$  and  $\sigma_0 = 1$ .

If  $\alpha = 0$  then the above example provides the answer to the problem, and if  $\alpha = 1$  then we take the series  $\sum_{n=1}^{\infty} 1/n^s$ . Below we assume that  $\alpha \in (0, 1)$ .

Define the function  $h(x) = x^{\alpha}$ , for x > 0. The function h(x) is strictly increasing and for every integer  $n \ge 1$  we have

$$|h(n+1) - h(n)| \le 1 \cdot \sup_{t \in [n, n+1]} |h'(t)| = \sup_{t \in [n, n+1]} \alpha t^{\alpha - 1} \le 1 \cdot 1 = 1.$$

Let  $a_n$ , for  $n \ge 1$ , be a sequence of numbers and define  $S(N) = \sum_{n=1}^N a_n$ . Inductively we define the sequence of numbers  $a_n \in \{+1, -1\}$ , for  $n \ge 1$ , such that the corresponding S(n) satisfies  $|S(N) - N^{\alpha}| =$  $|S(N) - h(N)| \leq 1$ . Let  $a_1 = +1$  which satisfies the inequality for N + 1. Assume that  $a_i$  are defined for  $1 \leq i \leq n$ , and let

$$a_{n+1} = \begin{cases} +1 & \text{if } S(n) \le h(n+1) \\ -1 & \text{if } S(n) > h(n+1). \end{cases}$$

When  $a_{n+1} = +1$  we have

$$h(n) - 1 \le S(n) \le h(n+1)$$

$$\implies h(n) \le S(n+1) \le h(n+1) + 1$$

$$\implies h(n) - h(n+1) \le S(n+1) - h(n+1) \le +1$$

$$\implies |S(n+1) - h(n+1)| \le +1$$

When  $a_{n+1} = -1$  we have

$$h(n+1) < S(n) \le h(n) + 1$$

$$\implies h(n+1) - 1 \le S(n+1) \le h(n)$$

$$\implies -1 \le S(n+1) - h(n+1) \le h(n) - h(n+1)$$

$$\implies |S(n+1) - h(n+1)| \le +1$$

For the sequence  $a_i$ , for  $i \ge 1$  defined above we have

$$\lim_{N \to \infty} \left| \frac{\log S(N)}{\log N} - \alpha \right| = \lim_{N \to \infty} \left| \frac{\log S(N)}{\log N} - \frac{\log N^{\alpha}}{\log N} \right| = \lim_{N \to \infty} \left| \frac{\log S(N) - \log N^{\alpha}}{\log N} \right| \le \lim_{N \to \infty} \frac{1}{\log N} = 0.$$
That is,
$$\lim_{N \to \infty} \frac{\log S(N)}{\log N} = \alpha.$$
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The Dirichlet series we introduce is

$$A(s) = \sum_{n=1}^{\infty} a_n n^{-s}.$$

Let us denote the partial sums of this series with the notation

$$A_N(s) = \sum_{n=1}^N a_n n^{-s}.$$

It is clear that for A(s) we have  $\sigma_0 = 1$ . We want to show that  $\sigma_1 = \alpha$ . We will prove this in two steps.

Step 1:  $\alpha \leq \sigma_1$ .

Let s be a complex number with  $\operatorname{Re} s > \sigma_1$ . Then, the series

$$A(s) = \sum_{n=1}^{\infty} a_n n^{-1}$$

is convergent. In particular, there is M > 0 such that for all  $N \ge 1$  we have  $|A_N(s)| \le M$ . We have

$$|S(N)| = \left| \sum_{n=1}^{N} a_n \cdot n^{-s} \cdot n^s \right|$$
  
=  $\left| \sum_{n=1}^{N} (A(n) - A(n-1)) \cdot n^s \right|$   
=  $\left| \sum_{n=1}^{N} A(n) n^s - \sum_{n=1}^{N} A(n-1) \cdot n^s \right|$   
=  $\left| \sum_{n=1}^{N} A(n) n^s - \sum_{n=0}^{N-1} A(n) \cdot (n+1)^s \right|$   
=  $\left| \sum_{n=1}^{N-1} A(n) (n^s - (n+1)^s) + A(N) N^s \right|$   
 $\leq M \sum_{n=1}^{N-1} ((n+1)^s - n^s) + M N^s$   
 $\leq 2M N^s$ 

The above equation implies that

$$\log|S(N)| \le \log 2 + \log M + s \log N.$$

Hence,

$$\alpha = \lim_{N \to \infty} \frac{\log |S(n)|}{\log N} \le s.$$

Taking infimum over all s with  $\operatorname{Re} s \geq \sigma_1$  we conclude from the above inequality that  $\alpha \leq \sigma_1$ .

Step 2:  $\sigma_1 \leq \alpha$ . Let  $\delta$  be an arbitrary positive real number and let  $s = \alpha + \sigma$ . We aim to prove that A(s) is a convergent series.

Using the partial summation with  $f(n) = a_n$  and  $F(x) = x^{-s}$  we have

$$\sum_{n=1}^{N} a_n n^{-s} = S(N)N^{-s} + \int_1^N S(x)sx^{-s-1} \, dx$$

By the relation

$$\lim_{n \to \infty} \frac{\log |S(n)|}{\log n} = \alpha$$

there is  $n_0 > 1$  such that for all  $n \ge n_0$  we have

$$\log |S(n)| \le (\alpha + \delta/2) \log n.$$

In other words,

$$|S(n)| \le n^{\alpha + \delta/2}$$

Using this inequality we see that

$$\lim_{N \to \infty} S(N) N^{-s} \le \lim_{N \to \infty} N^{\alpha + \delta/2} N^{-(\alpha + \delta)} \le \lim_{N \to \infty} N^{-\delta/2} = 0.$$

Similarly,

$$s \int_{n_0}^N S(x) x^{-s-1} \, dx \le s \int_{n_0}^N x^{\alpha+\delta/2} x^{-\alpha-\delta-1} \, dx \le s \int_{n_0}^N x^{-1-\delta/2} \, dx < \infty.$$

The above bounds prove that A(s) is a convergent series. In particular,  $\sigma_1 \leq \alpha + \delta$ . As  $\delta$  was chosen arbitrarily, we may conclude that  $\sigma_1 \leq \alpha$ .