

# *Estimates for the LANS- $\alpha$ , Leray- $\alpha$ and Bardina Models in Terms of a Navier-Stokes Reynolds Number*

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*In honor of Ciprian Foias on his 75th birthday:  
Wisdom is vindicated by all her children (Luke, 7:35)[1]*

ABSTRACT. Estimates for the three  $\alpha$ -models known as the LANS- $\alpha$ , Leray- $\alpha$  and Bardina models are found in terms a Reynolds number associated with a Navier-Stokes velocity field. They are tabulated for comparative purposes and show clearly that all estimates for the Leray- $\alpha$  model are smaller than those for the LANS- $\alpha$  and Bardina models.

## 1. INTRODUCTION

**1.1. Opening remarks.** Ciprian Foias has been a wise and gentle inspiration and guide to a younger generation of applied mathematicians who have followed in his footsteps by devoting considerable portions of their careers to studying the Navier-Stokes equations and the various problems associated with them. Ciprian has taught us respect for the severe difficulties encountered when addressing three-dimensional Navier-Stokes regularity properties [2–10], but he has also been the leader these last few years in a program that has seen the development of a set of three-dimensional models that regularize the Navier-Stokes equations. Known more commonly as  $\alpha$ -models, the most prominent of these are the LANS- $\alpha$  model (LANS stands for “Lagrangian-averaged Navier-Stokes”) [11–13], the Leray- $\alpha$  model [14] and the Bardina model [15]. At various levels

these share properties of the Navier-Stokes equations but, unlike their parent, they possess regular solutions. These comforting regularity properties are the motivation for their practical use in turbulence modelling [16–18].

Proofs of the regularity of solutions and estimates on the attractor dimension for all three  $\alpha$ -models have been found in terms of the Grashof number  $Gr$ , which is a measure of the forcing [12, 14, 15], but comparisons between estimates make better sense if they are made in terms of the same parameter that is also intrinsically associated with the Navier-Stokes equations. The obvious choice for this is the Reynolds number  $Re$  based on a velocity field  $U$ , the space-time average of the Navier-Stokes velocity field  $\mathbf{u}(\mathbf{x}, t)$ . Although technically not a control parameter but a measure of the fluid response,  $Re$  is a better choice than the Grashof number  $Gr$  because of its standard use in computational fluid calculations and scaling methods in statistical physics [19, 20]. Comparisons between estimates for the three  $\alpha$ -models and the Navier-Stokes equations are tabulated at the end of this main section. These extend the comparison made between LANS- $\alpha$  and Navier-Stokes by the present authors [21].

**1.2.  $\alpha$ -models.** The idea is to introduce a regularized velocity field  $\mathbf{v}(\mathbf{x}, t)$  defined in terms of the Navier-Stokes velocity field  $\mathbf{u}(\mathbf{x}, t)$  as

$$(1.1) \quad \mathbf{v} = (1 - \alpha^2 \Delta) \mathbf{u}$$

where  $\alpha$  is the coherence length of the Lagrangian statistics: clearly  $\mathbf{v} \rightarrow \mathbf{u}$  in the limit  $\alpha \rightarrow 0$ . The four partial differential equations in question are [12, 14, 15]

$$(1.2) \quad \mathbf{u}_t + \mathbf{u} \cdot \nabla \mathbf{u} + \nabla p = \nu \Delta \mathbf{u} + \mathbf{f}(\mathbf{x}) \quad \text{NS}$$

$$(1.3) \quad \left. \begin{aligned} \mathbf{v}_t + \mathbf{u} \cdot \nabla \mathbf{v} + \nabla \mathbf{u}^T \cdot \mathbf{v} + \nabla p \\ \mathbf{v}_t - \mathbf{u} \times \text{curl } \mathbf{v} + \nabla \tilde{p} \end{aligned} \right\} = \nu \Delta \mathbf{v} + \mathbf{f}(\mathbf{x}) \quad \text{LANS-}\alpha$$

$$(1.4) \quad \mathbf{v}_t + \mathbf{u} \cdot \nabla \mathbf{v} + \nabla p = \nu \Delta \mathbf{v} + \mathbf{f}(\mathbf{x}) \quad \text{Leray-}\alpha$$

$$(1.5) \quad \mathbf{v}_t + \mathbf{u} \cdot \nabla \mathbf{u} + \nabla p = \nu \Delta \mathbf{v} + \mathbf{f}(\mathbf{x}) \quad \text{Bardina}$$

taken on a three-dimensional periodic domain  $[0, L]^3$  with  $\text{div } \mathbf{u} = \text{div } \mathbf{v} = 0$ . In the two alternative versions of LANS- $\alpha$ , the two pressures  $\tilde{p}$  and  $p$  are related by  $\tilde{p} = p + \mathbf{u} \cdot \mathbf{v}$ .

The idea of creating a turbulence closure model without enhancing viscous dissipation came originally from Leray [22] who showed how to regularize the Navier-Stokes equations (1.2) by modifying their nonlinearity into the form (1.4) with  $\mathbf{v} = 0$  on the boundary. The two velocities  $\mathbf{u}$  and  $\mathbf{v}$  were related by  $\mathbf{u} = G_\delta * \mathbf{v}$  with the filtering operation defined by  $G_\delta * \mathbf{v} = \int G_\delta(\mathbf{x}, \mathbf{y}) \mathbf{v}(\mathbf{y}) d^3 \mathbf{y}$  for a symmetric kernel  $G_\delta(\mathbf{x}, \mathbf{y})$  of characteristic width  $\delta$ . The Navier-Stokes equations are recovered in the limit as  $\delta \rightarrow 0$ , so that  $\mathbf{u} \rightarrow \mathbf{v}$ . The Leray regularization of the Navier-Stokes equations has been reviewed by Gallavotti [23].

The three regularizations given in (1.3), (1.4) and (1.5) with  $\mathbf{u}$  and  $\mathbf{v}$  related by (1.1) have been shown to have regular solutions in [12–15]. Generally the most important of the estimates have been found in terms of the Grashof number  $Gr$  defined below in terms of the forcing. The most suitable quantity, however, is the Reynolds number  $Re$  based on the Navier-Stokes velocity field  $\mathbf{u}$  which needs to be related to the forcing function  $\mathbf{f}(\mathbf{x})$  on the right hand sides of (1.2)–(1.5). The forcing is taken to be of narrow-band type such that

$$(1.6) \quad \|\nabla^n \mathbf{f}\|_2 \approx \ell^{-n} \|\mathbf{f}\|_2.$$

With  $f_{rms} = L^{-d/2} \|\mathbf{f}\|_2$ , where and  $\|\mathbf{f}\|_2^2 = \int_{\Omega} |\mathbf{f}|^2 dV$ , the standard definition of the Grashof number in  $d$ -dimensions is

$$(1.7) \quad Gr = \frac{\ell^3 f_{rms}}{\nu^2}.$$

Define the Reynolds number as

$$(1.8) \quad Re = \frac{U\ell}{\nu} \quad U^2 = L^{-d} \langle \|\mathbf{u}\|_2^2 \rangle$$

where  $\langle \cdot \rangle$  is the long-time-average

$$(1.9) \quad \langle g(\cdot) \rangle = \overline{\lim}_{t \rightarrow \infty} \frac{1}{t} \int_0^t g(\tau) d\tau.$$

Doering and Foias [10] have addressed the problem of how to relate  $Gr$  and  $Re$  and have shown that in the limit  $Gr \rightarrow \infty$ , solutions of the  $d$ -dimensional Navier-Stokes equations must satisfy<sup>1</sup>

$$(1.10) \quad Gr \leq c (Re^2 + Re).$$

While this relation is gratifying, finding estimates for all three  $\alpha$ -models is not so simple as substituting  $Re^2$  for  $Gr$ . The time average  $\langle \cdot \rangle$  within  $U$  and hence within  $Re$  suggests that sharper estimates can be found.

**1.3. Comparisons between models.** Comparisons between the models can be made at different levels but those for  $\langle H_1 \rangle$  are particularly instructive as this is one of the few Navier-Stokes quantities known to be bounded with an upper bound proportional to  $Re^3$ . The corresponding upper bound for LANS- $\alpha$  and Bardina of  $Re^{5/2}$  is just beaten by the Leray-model with  $Re^{7/3}$ . These three models all have the property that the  $H_1$ -norm is bounded above point-wise in

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<sup>1</sup>In [21] it has been shown that this property holds for the LANS- $\alpha$  equations; the same methods can be used to show this also holds for the Leray- $\alpha$  and Bardina models although these calculations won't be displayed here.

time, which still remains an open problem for the Navier-Stokes equations. The estimate for the attractor dimension for Leray- $\alpha$  model at  $\text{Re}^{9/7}$  is by far the best. While the equivalent for LANS- $\alpha$  is  $\text{Re}^{9/4}$  – which appears consistent with Landau’s heuristic ideas – this may not actually be sharp [21]. Moreover, while Foias, Holm and Titi obtained an attractor dimension estimate of  $\text{Re}^{3/2}$  in [11], their definition of  $\text{Re}$  was different from that used here.

The Table compares estimates of various solution properties. These estimates improve as one passes from the Navier-Stokes equations to LANS- $\alpha$ , to Bardina and then to Leray- $\alpha$ , with the Leray- $\alpha$  model showing the most improvement. The milder activity shown by Leray is illustrated by the much tighter estimates for variables  $\langle \kappa_{n,0}^2 \rangle$  in the penultimate row. These quantities involve higher derivatives, as explained in Section 3 and the appendix. Based on a definition for the Navier-Stokes equations

$$(1.11) \quad F_n = H_n + \tau^2 \|\nabla^n \mathbf{f}\|_2$$

where the characteristic time  $\tau$  defined in Section 3, the  $\kappa_{n,0}$  are defined as

$$(1.12) \quad \kappa_{n,0}^{2n} = \frac{F_n}{F_0} = \frac{\int_{\Omega} k^{2n} (\hat{\mathbf{u}}^2 + \tau^2 \hat{\mathbf{f}}^2) \, dV}{\int_{\Omega} (\hat{\mathbf{u}}^2 + \tau^2 \hat{\mathbf{f}}^2) \, dV}.$$

These are the  $2n^{\text{th}}$  Fourier-moments of the velocity field. Being squares of inverse lengths, the time-averages  $\langle \kappa_{n,0}^2 \rangle$  indicate the expected activity as a function of length-scale, with emphasis on activity in the higher wave-numbers at higher values of  $n$ . The Table shows that the asymptotic exponent of  $17/12$  in  $\langle \kappa_{n,0}^2 \rangle$  as  $n \rightarrow \infty$  for Leray- $\alpha$  is a great improvement over the  $11/4$  for LANS- $\alpha$  and Bardina. It should be noted, however, as explained in Section 3, that the definitions of  $\kappa_{n,0}$  are different for each model, although they play the same physical role.

## 2. WHY DO GENERAL $\alpha$ -MODEL ESTIMATES DIFFER FROM NAVIER-STOKES ESTIMATES?

What is it about the filtering that makes the  $\alpha$ -models different from the Navier-Stokes equations? This can be illustrated by looking at Leray’s energy inequality for the Navier-Stokes equations [2–10]. The semi-norms  $H_n$  are defined on a periodic domain  $\Omega = [0, L]^3$

$$(2.1) \quad H_n = \int_{\Omega} |\nabla^n \mathbf{u}|^2 \, dV.$$

The energy  $H_0 = \|\mathbf{u}\|_2^2$  satisfies

$$(2.2) \quad \frac{1}{2} \frac{dH_0}{dt} \leq -\nu H_1 + \|\mathbf{f}\|_2 H_0^{1/2}.$$

	NS	LANS- $\alpha$	Leray	Bardina
Bounded $H_1(t)$ ?	No	Yes	Yes	Yes
$\langle H_1 \rangle$ ?	$\text{Re}^3$	$\text{Re}^{5/2}$	$\text{Re}^{7/3}$	$\text{Re}^{5/2}$
$\ell \Lambda_k^{-1}$	$\text{Re}^{3/4}$	$\text{Re}^{5/8}$	$\text{Re}^{7/12}$	$\text{Re}^{5/8}$
$\langle H_2 \rangle$	–	$\text{Re}^3$	$\text{Re}^{8/3}$	$\text{Re}^3$
$\langle H_3 \rangle$	–		$\text{Re}^3$	
$d_F(\mathcal{A})$	–	$\text{Re}^{9/4}$	$\text{Re}^{9/7}$	$\text{Re}^{9/5}$
$\langle \ \mathbf{u}\ _\infty^2 \rangle$	–	$\text{Re}^{11/4}$	$\text{Re}^{5/2}$	$\text{Re}^{11/4}$
$\langle \ \nabla \mathbf{u}\ _\infty \rangle$	–	$\text{Re}^{35/16}$	$\text{Re}^{17/12}$	$\text{Re}^{35/16}$
$\ell^2 \langle \kappa_{n,0}^2 \rangle$	–	$\text{Re}^{11/4-7/(4n)} (\ln \text{Re})^{1/n}$	$\text{Re}^{17/12-5/(12n)} (\ln \text{Re})^{1/n}$	$\text{Re}^{11/4-7/(4n)} (\ln \text{Re})^{1/n}$
$\ell^2 \langle \kappa_{1,0}^2 \rangle$	$\text{Re} (\ln \text{Re})$	$\text{Re} (\ln \text{Re})$	$\text{Re} (\ln \text{Re})$	$\text{Re} (\ln \text{Re})$

TABLE 1.1. Upper bounds for the Navier-Stokes, LANS- $\alpha$ , Leray- $\alpha$ , Bardina models with coefficients omitted. In the  $\alpha$ -model cases, these coefficients diverge as  $\alpha \rightarrow 0$ . The variables  $\kappa_{n,r}$  in the last two rows are defined in Section 3.

Time-averaging (2.2) and using (1.8) and (1.10) yields

$$(2.3) \quad \langle H_1 \rangle \leq \nu^2 L^3 \ell^{-4} Gr Re \leq c \nu^2 L^3 \ell^{-4} (Re^3 + Re^2).$$

The energy dissipation rate  $\varepsilon = \nu L^{-3} \langle H_1 \rangle$  is bounded by

$$\varepsilon \leq c \nu^3 \ell^{-4} (Re^3 + Re^2).$$

To leading order the inverse Kolmogorov length  $\lambda_k^{-1} = (\varepsilon/\nu^3)^{1/4}$  is then bounded above by

$$(2.4) \quad \ell \lambda_k^{-1} \leq c Re^{3/4}.$$

This upper bound conforms with the generally accepted scaling law for the inverse Kolmogorov length with the Reynolds number [19, 20]. Now we turn to improvements on this for the three  $\alpha$ -models.

In what follows, the two dimensionless volumes  $V_\ell$  and  $V_\alpha$  are defined by

$$(2.5) \quad V_\ell = \left(\frac{L}{\ell}\right)^3, \quad V_\alpha = \left(\frac{L}{(\ell\alpha)^{1/2}}\right)^3,$$

and  $\lambda_1 > 0$  is smallest eigenvalue of the Stokes operator.

**2.1. The LANS- $\alpha$  model.** The key to the improved results for the LANS- $\alpha$  equations is due to Foias, Holm and Titi [12] who showed that the integral of the product  $\mathbf{u} \cdot \mathbf{v}$  has two properties.  $\mathbf{v}$  is defined in (1.1). The first property is

$$(2.6) \quad \int_\Omega \mathbf{u} \cdot \mathbf{v} \, dV = \int_\Omega \{ |\mathbf{u}|^2 + \alpha^2 |\nabla \mathbf{u}|^2 \} \, dV$$

while the second is

$$(2.7) \quad \begin{aligned} \frac{d}{dt} \int_\Omega \mathbf{u} \cdot \mathbf{v} \, dV &= \int_\Omega (\mathbf{u}_t \cdot (1 - \alpha^2 \Delta) \mathbf{u} + \mathbf{u} \cdot \mathbf{v}_t) \, dV \\ &= \int_\Omega \{ \mathbf{u} \cdot [1 - \alpha^2 \Delta] \mathbf{u}_t + \mathbf{u} \cdot \mathbf{v}_t \} \, dV \\ &= 2 \int_\Omega \mathbf{u} \cdot \mathbf{v}_t \, dV, \end{aligned}$$

where two integrations by parts have occurred between the first and second lines. From (2.6) we clearly we have

$$(2.8) \quad \begin{aligned} \frac{1}{2} \frac{d}{dt} (H_0 + \alpha^2 H_1) &= -\nu (H_1 + \alpha^2 H_2) + \int_\Omega \mathbf{u} \cdot \mathbf{f} \, dV \\ &\leq -\nu (H_1 + \alpha^2 H_2) + \|\mathbf{u}\|_2 \|\mathbf{f}\|_2. \end{aligned}$$

An absorbing ball for  $H_1$  can then be calculated (see [12]): this is the key result that is missing for the Navier-Stokes equations. It is also possible to estimate the time averages of  $\langle H_1 \rangle$  and  $\langle H_2 \rangle$  which can be found, as in (2.2), to satisfy

$$(2.9) \quad \nu L^{-3} \langle H_1 + \alpha^2 H_2 \rangle \leq \nu^3 \ell^{-4} \text{Re } Gr \leq c \nu^3 \ell^{-4} \text{Re}^3 .$$

The upper bound on  $\langle H_2 \rangle$ , written as

$$(2.10) \quad \alpha^2 \ell \nu^{-2} \langle H_2 \rangle \leq c V_\ell \text{Re}^3 ,$$

can then be used to improve the estimate for  $\langle H_1 \rangle$  by using both the simple inequality  $\langle H_1 \rangle \leq \langle H_0 \rangle^{1/2} \langle H_2 \rangle^{1/2}$  together with the velocity  $U$  defined by  $U^2 = L^{-3} \langle H_0 \rangle$ . This improvement is

$$(2.11) \quad \langle H_1 \rangle \leq c \nu^2 L^3 \ell^{-3} \alpha^{-1} \text{Re}^{5/2} .$$

This improves the Navier-Stokes result in (2.4) to

$$(2.12) \quad \ell \lambda_k^{-1} \leq c \left( \frac{\ell}{\alpha} \right)^{1/4} \text{Re}^{5/8} .$$

Hence the energy dissipation rate  $\varepsilon$  is also bounded above by  $\text{Re}^{5/2}$  but the improved estimate blows up when  $\alpha \rightarrow 0$ ; no equivalent result is implied for the 3D Navier-Stokes equations.

Foias, Holm and Titi [12] have made two estimates of the fractal dimension  $d_F(\mathcal{A})$  of the global attractor  $\mathcal{A}$ , the first in terms of the Grashof number  $Gr$  but the second in terms of  $\bar{\varepsilon}$  which includes the  $H_2$ -norm. Their definition of  $\bar{\varepsilon}$  is

$$(2.13) \quad \bar{\varepsilon} = \lambda_1^{3/2} \nu \langle H_1 + \alpha^2 H_2 \rangle$$

where  $\lambda_1$  is the smallest eigenvalue of the Stokes operator. Their result is [12]

$$(2.14) \quad d_F(\mathcal{A}) \leq c \frac{\lambda_1^{-3/2}}{(\alpha^2 \lambda_1)^{3/4}} \left( \frac{\bar{\varepsilon}}{\nu^3} \right)^{3/4} .$$

We now use the estimate for  $\langle H_1 + \alpha^2 H_2 \rangle$  from (2.9). Thus

$$(2.15) \quad ad_5 \bar{\varepsilon} \leq c (L \lambda_1^{1/2})^3 \nu^3 \ell^{-4} \text{Re}^3 ,$$

which turns the result of [12] into

$$(2.16) \quad d_F(\mathcal{A}) \leq c \frac{V_\alpha V_\ell^{1/2}}{(L^2 \lambda_1)^{9/8}} \text{Re}^{9/4} ,$$

where  $L^2 \lambda_1 = 4\pi^2$ . The right hand side blows up as  $\alpha \rightarrow 0$  through  $V_\alpha$ . This re-working of the Foias, Holm and Titi estimate [12] can be found in [21].

**2.2. The Leray- $\alpha$  model.** Below we find some estimates for the Leray- $\alpha$  equations in the same manner as for the Navier-Stokes and LANS- $\alpha$  equations. The results are given in the table.

$$\begin{aligned}
 (2.17) \quad \int_{\Omega} |\mathbf{v}|^2 \, dV &= \int_{\Omega} (1 - \alpha^2 \Delta) \mathbf{u} \cdot (1 - \alpha^2 \Delta) \mathbf{u} \, dV \\
 &= \int_{\Omega} \left[ |\mathbf{u}|^2 + 2\alpha^2 |\nabla \mathbf{u}|^2 + \alpha^4 |\Delta \mathbf{u}|^2 \right] \, dV \\
 &= H_0 + 2\alpha^2 H_1 + \alpha^4 H_2.
 \end{aligned}$$

Now consider the Leray- $\alpha$  equations (1.4) which gives

$$(2.18) \quad \frac{1}{2} \frac{d}{dt} \int_{\Omega} |\mathbf{v}|^2 \, dV = -\nu \int_{\Omega} |\nabla \mathbf{v}|^2 \, dV + \int_{\Omega} \mathbf{v} \cdot \mathbf{f} \, dV,$$

leading to

$$\begin{aligned}
 (2.19) \quad \frac{1}{2} \frac{d}{dt} (H_0 + 2\alpha^2 H_1 + \alpha^4 H_2) \\
 \leq -\nu (H_1 + 2\alpha^2 H_2 + \alpha^4 H_3) + (1 + \alpha^2 \ell^{-2}) \|\mathbf{f}\|_2 \|\mathbf{u}\|_2.
 \end{aligned}$$

Time-averaging we obtain

$$\begin{aligned}
 (2.20) \quad \nu \langle H_1 + 2\alpha^2 H_2 + \alpha^4 H_3 \rangle &\leq (1 + \alpha^2 \ell^{-2}) L^3 f_{rms} U \\
 &\leq (1 + \alpha^2 \ell^{-2}) \nu^3 L^3 \ell^{-4} Gr Re \\
 &\leq c (1 + \alpha^2 \ell^{-2}) \nu^3 V_{\ell} \ell^{-1} (Re^3 + Re^2).
 \end{aligned}$$

Thus we can write

$$(2.21) \quad \langle H_3 \rangle \leq c \alpha^{-4} (1 + \alpha^2 \ell^{-2}) \nu^2 V_{\ell} \ell^{-1} (Re^3 + Re^2).$$

This can be exploited to bring down the estimate for  $\langle H_1 \rangle$  from  $Re^3$ . In fact we know that  $H_1 \leq H_3^{1/3} H_0^{2/3}$  and  $H_2 \leq H_3^{2/3} H_0^{1/3}$ . Thus

$$\begin{aligned}
 (2.22) \quad \langle H_1 \rangle &\leq \langle H_3 \rangle^{1/3} \langle H_0 \rangle^{2/3} \\
 &= \langle H_3 \rangle^{1/3} L^2 (\nu \ell^{-1} Re)^{4/3} \\
 &\leq c \nu^2 (1 + \ell^{-2} \alpha^2)^{1/3} V_{\ell} \ell^{1/3} \alpha^{-4/3} Re^{7/3} \\
 &\leq c \nu^2 (1 + \ell^{-2} \alpha^2)^{1/3} V_{\alpha}^{8/9} V_{\ell}^{4/9} L^{-1} Re^{7/3}.
 \end{aligned}$$

This is an improvement on the  $\text{Re}^{5/2}$  estimate for LANS- $\alpha$  which, in turn, is an improvement on the  $\text{Re}^3$  for Navier-Stokes. Moreover,

$$(2.23) \quad \begin{aligned} \langle H_2 \rangle &\leq c \nu^2 (1 + \alpha^2 \ell^{-2})^{2/3} V_\ell \ell^{-1/3} \alpha^{-8/3} \text{Re}^{8/3} \\ &\leq c \nu^2 (1 + \ell^{-2} \alpha^2)^{2/3} V_\alpha^{16/9} V_\ell^{2/9} L^{-3} \text{Re}^{8/3}. \end{aligned}$$

which is an improvement on the  $\text{Re}^3$  for LANS- $\alpha$ .

Cheskidov, Holm, Olson and Titi [14] have proved that the Hausdorff and fractal dimensions of the global attractor of the Leray- $\alpha$  model are bounded by

$$(2.24) \quad d_H(\mathcal{A}) \leq d_F(\mathcal{A}) \leq \left(\frac{L}{\ell_d}\right)^{12/7} \left(1 + \frac{L}{\alpha}\right)^{9/14}$$

where

$$(2.25) \quad \ell_d^{-4} = \varepsilon_{\text{Leray}} \nu^{-3}$$

and where

$$(2.26) \quad \begin{aligned} \varepsilon_{\text{Leray}} &= L^{-3} \nu \langle H_1 + 2\alpha^2 H_2 + \alpha^4 H_3 \rangle \\ &\leq c L^{-3} (1 + \alpha^2 \ell^{-2}) \nu^3 V_\ell \ell^{-1} \text{Re}^3 \end{aligned}$$

Thus

$$(2.27) \quad \ell_d^{-4} = \varepsilon_{\text{Leray}} \nu^{-3} \leq c (1 + \alpha^2 \ell^{-2}) \ell^{-4} \text{Re}^3.$$

Thus we have

$$(2.28) \quad d_H(\mathcal{A}) \leq d_F(\mathcal{A}) \leq V_\ell^{4/7} (1 + \alpha^2 \ell^{-2})^{3/7} \left(1 + \frac{L}{\alpha}\right)^{9/14} \text{Re}^{9/7},$$

which is entered in the table.

**2.3. The Bardina model.** Now consider the Bardina model [15] given in (1.5)

$$(2.29) \quad v_t + u \cdot \nabla u = \nu \Delta v - \nabla p + f, \quad v = u - \alpha^2 \Delta u$$

with  $\text{div } u = \text{div } v = 0$ . Now we know that

$$(2.30) \quad \int_\Omega u \cdot v \, dV = \int_\Omega \{ |u|^2 + \alpha^2 |\nabla u|^2 \} \, dV = H_0 + \alpha^2 H_1$$

and

$$(2.31) \quad \frac{d}{dt} \int_{\Omega} \mathbf{u} \cdot \mathbf{v} \, dV = \int_{\Omega} (\mathbf{u}_t \cdot \mathbf{v} + \mathbf{u} \cdot \mathbf{v}_t) \, dV = 2 \int_{\Omega} \mathbf{u} \cdot \mathbf{v}_t \, dV.$$

Therefore  $\langle H_1 + \alpha^2 H_2 \rangle$  is found to satisfy the same estimates as the LANS- $\alpha$  model

$$(2.32) \quad \nu L^{-3} \langle H_1 + \alpha^2 H_2 \rangle \leq \nu^3 \ell^{-4} \text{Re} \, Gr \leq c \nu^3 \ell^{-4} \text{Re}^3,$$

with

$$(2.33) \quad \ell \lambda_k^{-1} \leq c \left( \frac{\ell}{\alpha} \right)^{1/4} \text{Re}^{5/8}.$$

These latter results are exactly as in LANS- $\alpha$ . The estimate for the dimension  $d_F(\mathcal{A})$  of the global attractor  $\mathcal{A}$  given in [15] is proportional to  $Gr^2$ . This, however, can be improved by noting that their estimate is dependent upon  $\langle H_2 \rangle$  whose upper bound can be improved to  $\text{Re}^3$  as opposed to  $Gr^2 \leq c \text{Re}^4$ . With this improvement it is found that the estimate for  $d_{F, \text{Bard}}(\mathcal{A})$  in [15] converts to

$$(2.34) \quad d_{F, \text{Bard}}(\mathcal{A}) \leq \left( \frac{L}{\alpha} \right)^{18/5} \text{Re}^{9/5}.$$

### 3. ESTIMATES FOR $\langle \kappa_{n,r}^2 \rangle$ FOR ALL THREE MODELS

We begin by forming the combination

$$(3.1) \quad F_n = H_n + \tau^2 \|\nabla^n \mathbf{f}\|_2^2,$$

where the quantity  $\tau$

$$(3.2) \quad \tau = \ell^2 \nu^{-1} (Gr \ln Gr)^{-1/2}.$$

For the LANS- $\alpha$  and Bardina models we define the combination

$$(3.3) \quad J_n = F_n + 2\alpha^2 F_{n+1}$$

and for the Leray- $\alpha$ -model the combination

$$(3.4) \quad L_n = F_n + 2\alpha^2 F_{n+1} + \alpha^4 F_{n+2}$$

**Theorem 3.1.** As  $Gr \rightarrow \infty$ , for  $n \geq 1$ ,  $1 \leq p \leq n$ ,  $J_n$  and  $L_n$  satisfy

$$(3.5) \quad \frac{dJ_n}{dt} = -\frac{1}{4} \nu \frac{J_n^{1+1/p}}{J_n^{1/p}} + c_{n,\alpha} \nu^{-1} \|\mathbf{u}\|_\infty^2 J_n + c_1 \nu \ell^{-2} \text{Re}(\text{In Re}) J_n$$

$$(3.6) \quad \frac{dL_n}{dt} = -\frac{1}{3} \nu \frac{L_n^{1+1/p}}{L_n^{1/p}} + c_{n,\alpha} \|\nabla \mathbf{u}\|_\infty L_n + c_1 \nu \ell^{-2} \text{Re}(\text{In Re}) L_n$$

and, for  $n = 0$ ,

$$(3.7) \quad \begin{aligned} \frac{1}{2} \frac{dJ_0}{dt} &\leq -\nu J_1 + c_1 \nu \ell^{-2} \text{Re}(\text{In Re}) J_0, \\ \frac{1}{2} \frac{dL_0}{dt} &\leq -\nu L_1 + c_1 \nu \ell^{-2} \text{Re}(\text{In Re}) L_0. \end{aligned}$$

*Proof.* The proof of these follows closely to that for LANS- $\alpha$  in [21] and will not be repeated here.  $\square$

**Important Remark.** The  $\|\nabla \mathbf{u}\|_\infty L_n$  in the middle term in (3.6) is neither valid for LANS- $\alpha$  nor Bardina but must be replaced by  $\nu^{-1} \|\mathbf{u}\|_\infty^2 J_n$  (see [21]). Estimates for LANS- $\alpha$  can be found in that paper while those for Bardina follow in a similar manner.

However, estimates for Leray- $\alpha$  come out to be much sharper than those for LANS- $\alpha$  and Bardina because of the  $\|\nabla \mathbf{u}\|_\infty$ -term in (3.6) as opposed to the  $\nu^{-1} \|\mathbf{u}\|_\infty^2$ -term in (3.5). To show this define

$$(3.8) \quad \kappa_{n,r} = \left(\frac{L_n}{L_r}\right)^{1/(2(n-r))}.$$

Then from (3.6)

$$(3.9) \quad \langle \kappa_{n,r}^2 \rangle \leq c_{n,r} \nu^{-1} \langle \|\nabla \mathbf{u}\|_\infty \rangle + c_1 \ell^{-2} \text{Re}(\text{In Re}).$$

To estimate the right hand side of (3.9), Agmon’s inequality gives

$$(3.10) \quad \begin{aligned} \langle \|\nabla \mathbf{u}\|_\infty \rangle &\leq \langle H_2 \rangle^{1/4} \langle H_3 \rangle^{1/4} \\ &\leq c L^{-2} \nu (1 + \alpha^2 \ell^{-2})^{5/12} V_\ell^{1/18} V_\alpha^{35/36} \text{Re}^{17/12}, \end{aligned}$$

Thus

$$(3.11) \quad L^2 \langle \kappa_{n,r}^2 \rangle \leq c (1 + \alpha^2 \ell^{-2})^{5/12} V_\ell^{1/18} V_\alpha^{35/36} \text{Re}^{17/12},$$

or

$$(3.12) \quad \ell^2 \langle \kappa_{n,r}^2 \rangle \leq c (1 + \alpha^2 \ell^{-2})^{5/12} V_\ell^{-11/18} V_\alpha^{35/36} \text{Re}^{17/12}.$$

We can also estimate

$$(3.13) \quad \langle \|\mathbf{u}\|_\infty^2 \rangle \leq \langle H_1 \rangle^{1/2} \langle H_2 \rangle^{1/2} \\ \leq c \nu^2 L^{-2} (1 + \alpha^2 \ell^{-2})^{1/2} V_\ell^{1/3} V_\alpha^{4/3} \text{Re}^{5/2},$$

These all give estimates for  $\langle \kappa_{n,r}^2 \rangle$ . By choosing  $r = 1$  one can achieve an improvement for the bound on  $\langle \kappa_{n,0}^2 \rangle$  by writing

$$(3.14) \quad \langle \kappa_{n,0}^2 \rangle = \langle \kappa_{n,1}^{2(n-1)/n} \kappa_{1,0}^{2/n} \rangle \leq \langle \kappa_{n,1}^2 \rangle^{(n-1)/n} \langle \kappa_{1,0}^2 \rangle^{1/n}$$

and using estimates for  $\langle \kappa_{1,0}^2 \rangle$ . This is the origin of the  $n$ -dependence in the exponents in the Table. An explicit example is the calculation for LANS- $\alpha$  given in [21].

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