

Local to global principle for the moduli space of K3 surfaces

Gregorio Baldi

Workshop on Galois representations and K3 surfaces organised by Martin Orr and Alexei Skorobogatov

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Notations

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- K a number field, \overline{K} a fixed algebraic closure, $\text{Gal}(\overline{K}/K)$ its absolute Galois group;

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- a fixed embedding $\overline{K} \hookrightarrow \mathbb{C}$.

Motivation: section conjecture for the moduli space of abelian varieties

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Question

Are sections s of \mathcal{A}_g / K locally induced by points induced by global points?

Selmer set and family of Galois representations

When $g > 1$ we have:

$$\begin{array}{ccccccc} 1 \longrightarrow \pi_1(\mathcal{A}_{g,\mathbb{C}}) & \longrightarrow & \pi_1(\mathcal{A}_g) & \longrightarrow & \text{Gal}(\overline{K}/K) & \longrightarrow & 1 \\ & & \downarrow & & \downarrow \chi & & \\ 1 \longrightarrow \text{Sp}_{2g}(\widehat{\mathbb{Z}}) & \longrightarrow & \text{GSp}_{2g}(\widehat{\mathbb{Z}}) & \longrightarrow & \widehat{\mathbb{Z}}^* & \longrightarrow & 1 \end{array},$$

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Sections \rightsquigarrow ℓ -adic families of Galois representations.

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Question

Is it possible to find some 'local' representation-theoretical properties to ensure that a family of ℓ -adic reps comes from an abelian variety?

Weakly compatible family of ℓ -adic representations

Definition (Weakly compatible, after Serre)

A family $\{\rho_\ell : \text{Gal}(\overline{K}/K) \rightarrow \text{GL}_n(\mathbb{Q}_\ell)\}_\ell$ is *weakly compatible* if there exists a finite set of places Σ of K such that

- (i) for all ℓ , ρ_ℓ is unramified outside the union of Σ and the places Σ_ℓ of K dividing ℓ ;

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Example (Deligne)

If X is a smooth projective variety defined over K , $\{H_{\text{et}}^i(X_{\overline{K}}, \mathbb{Q}_\ell(j))\}_\ell$ form a weakly compatible system.

Patrikis-Voloch-Zarhin's result (2016)

Let $\{\rho_\ell : \text{Gal}(\overline{K}/K) \rightarrow \text{GL}_{2N}(\mathbb{Q}_\ell)\}_\ell$ be a weakly compatible system such that for some primes ℓ_0, ℓ_1, ℓ_2 we have

- ρ_{ℓ_0} is de Rham at all places of K above ℓ_0 ;

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- there is at least one place $v \in \Sigma_{\ell_2}$, such that $\rho_{\ell_2}|_{\text{Gal}(\overline{K}_v/K_v)}$ is de Rham with Hodge-Tate weights $-1, 0$ each with multiplicity N .

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Then, assuming some well known conjectures, there exists an abelian variety A defined over K such that $\rho_\ell \cong V_\ell(A)$ for all ℓ .

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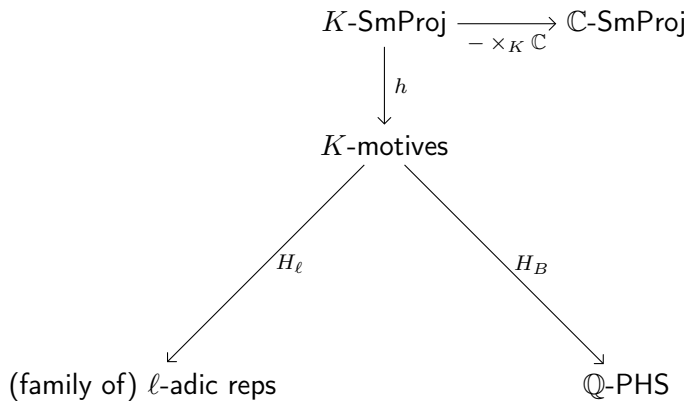
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We fix a family of embeddings $\iota_\ell : \overline{\mathbb{Q}} \rightarrow \overline{\mathbb{Q}}_\ell$ and write

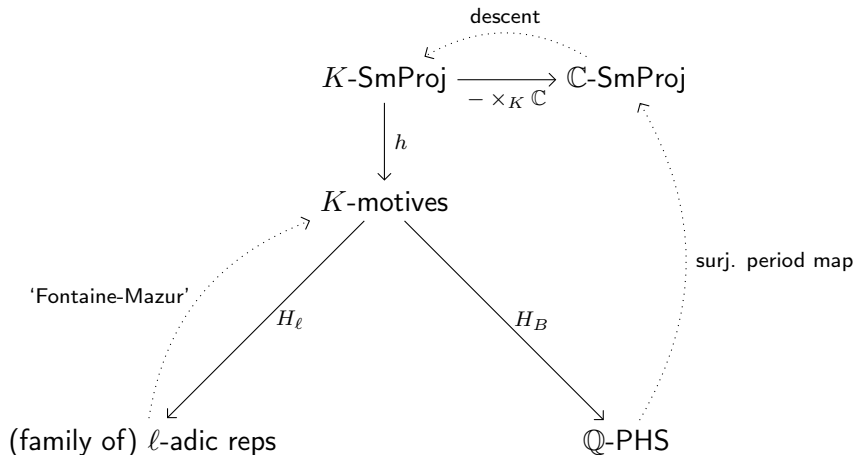
$$H_\ell : \mathcal{M}_{K,E} \rightarrow \text{Rep}_{\overline{\mathbb{Q}}_\ell}(\text{Gal}(\overline{K}/K))$$

for the ℓ -adic realisation functors associated to ι_ℓ .

A picture



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The conjecture

Conjecture

Let $r_\ell : \text{Gal}(\overline{K}/K) \rightarrow \text{GL}_n(\mathbb{Q}_\ell)$ be an irreducible geometric Galois representation. Then there exists an object $M \in \mathcal{M}_{K, \overline{\mathbb{Q}}}$ such that

$$r_\ell \otimes \overline{\mathbb{Q}}_\ell \cong H_\ell(M) \in \text{Rep}_{\overline{\mathbb{Q}}_\ell}(\text{Gal}(\overline{K}/K)).$$

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Remark

We work with compatible systems of ℓ -adic reps, rather than a fixed ρ_ℓ , to produce an object in \mathcal{M}_K , rather than $\mathcal{M}_{K, \overline{\mathbb{Q}}}$.

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K3 surfaces and Galois representations

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Question

Given $\{\rho_\ell\}_\ell$ a weakly compatible system of ℓ -adic representations of $\text{Gal}(\overline{K}/K)$ that looks like the transcendental part of a K3 surface, can we construct a K3 surface X (defined over K) such that $T(X_{\overline{K}})_{\mathbb{Q}_\ell} \cong \rho_\ell$ for all ℓ s?

Motive of a surface (after Murre-Pedrini)

We can isolate the transcendental part of the motive of a surface X :

$$h_2(X) = (h_{alg}^2(X) \oplus t_2(X)),$$

where $h_{alg}^2(X) = (X, \pi_2^{alg}, 0)$ and $t_2(X) = (X, \pi_2^{tr}, 0)$, for a refined Künneth decomposition $\pi_2 = \pi_2^{alg} + \pi_2^{tr}$.

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$$H_B(h_{alg}^2(X) \oplus t_2(X)) = \text{NS}(X)_{\mathbb{Q}} \oplus T(X)_{\mathbb{Q}},$$

$$H_{\ell}(h_{alg}^2(X) \oplus t_2(X)) = \text{NS}(X_{\overline{K}})_{\mathbb{Q}_{\ell}} \oplus T(X_{\overline{K}})_{\mathbb{Q}_{\ell}}.$$

Local conditions

For a *refined Fontaine-Mazur* we need to work with the following local conditions:

- 1 For some prime ℓ_0 , ρ_{ℓ_0} is de Rham at all places of K above ℓ_0 ;

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- 3 For some prime ℓ_2 and at least one place $v \in \Sigma_{\ell_2}$, $\rho_{\ell_2}|_{\text{Gal}(\overline{K}_v/K_v)}$ is de Rham with Hodge-Tate weights of a K3 surface, and multiplicities, respectively, $1, 20 - \rho, 1$.

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Note that condition (3) is satisfied if there exists a K3 surface X_v/K_v of Picard rank ρ and $\rho_{\ell_2}|_{\text{Gal}(\overline{K}_v/K_v)}$ is isomorphic to the representation induced by $T(X_{\overline{K}_v})_{\mathbb{Q}_{\ell}}$.

Theorem

Let $\rho \in \mathbb{N}$ be such that $2 < 22 - \rho \leq 10$. Assume the Tate, Fontaine-Mazur and the Hodge conjecture. Let

$$\{\rho_\ell : \text{Gal}(\overline{K}/K) \rightarrow \text{GL}_{22-\rho}(\mathbb{Q}_\ell)\}_\ell$$

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Then there exists a simple motive M defined over K inducing the representations ρ_ℓ and a finite extension L/K , such that the base change of M to L is isomorphic to the transcendental part of the motive of a $K3$ surface defined over L .

Strategy of the proof

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Two problems:

- We do not have enough information to reconstruct the algebraic part of the H^2 . This is why we need a finite extension. . .
- the transcendental part determines the full H^2 only in particular cases (Nikulin). . .

Choosing a place ℓ_0 as in (1), our conjectural description of the essential image of H_{ℓ_0} ensures the existence of a motivic Galois representation

$$\rho : \mathcal{G}_{K,E} \rightarrow \mathrm{GL}_{22-\rho,E}$$

for some number field E , such that $H_{\ell_0}(\rho) \cong \rho_{\ell_0} \otimes \overline{\mathbb{Q}}_{\ell_0}$ (the same holds for every ℓ).

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for some number field E , such that $H_{\ell_0}(\rho) \cong \rho_{\ell_0} \otimes \overline{\mathbb{Q}}_{\ell_0}$ (the same holds for every ℓ). The obstruction to descending ρ to a \mathbb{Q} -rational representation of \mathcal{G}_K is an element $\mathrm{obs}_\rho \in H^1(\mathrm{Gal}(E/\mathbb{Q}), \mathrm{PGL}_{22-\rho}(E))$.

Lemma (P-V-Z)

In fact obs_ρ lies in

$$\ker(H^1(\mathrm{Gal}(E/\mathbb{Q}), \mathrm{PGL}_{22-\rho}(E)) \rightarrow \prod_{\ell} (\mathrm{Gal}(E_\ell/\mathbb{Q}_\ell), \mathrm{PGL}_{22-\rho}(E_\ell))).$$

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$$H_{dR}(M) \otimes_K K_v \cong D_{dR, K_v}(H_{\ell_2}(M)).$$

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Tanks to the Betti-de Rham comparison isomorphism we conclude that $H_B(M|_{\mathbb{C}})$ is a polarizable rational Hodge structure of weight two and with Hodge numbers $1 - (20 - \rho) - 1$, since $\rho_{\ell_2|_{\text{Gal}(\overline{K}_v/K_v)}}$ has such multiplicities.

Surjectivity of the period map

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Proposition (van Geemen)

Let (V, h, ψ) be a \mathbb{Q} -PHS of K3 type with $\text{End}_{\text{Hdg}}(V) = \mathbb{Q}$, and

$$3 \leq \dim V \leq 10$$

Choose a free \mathbb{Z} -module $T \subset V$, compatibly with the Hodge structure, of rank $\dim_{\mathbb{Q}} V$ such that ψ is integer valued on $T \times T$. Then there exists a K3 surface X/\mathbb{C} with $T(X) \cong T$ as integral polarised Hodge structure.

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Under these numerical constraints, a theorem Nikulin shows that there exists a primitive embedding of lattices

$$T \hookrightarrow \Lambda_{K3}.$$

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Thanks to the Hodge conjecture we can lift the isomorphism of Hodge structures to get an isomorphism at the level of motives:

$$t_2(X) \cong M|_{\mathbb{C}} \in \mathcal{M}_{\mathbb{C}},$$

where $t_2(X)$ is the transcendental part of the motive of X

Since M is defined over a number field, for all $\sigma \in \text{Aut}(\mathbb{C}/\overline{\mathbb{Q}})$, we have the following chain of isomorphisms:

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It follows that, for all $\sigma \in \text{Aut}(\mathbb{C}/\overline{\mathbb{Q}})$ we have an isomorphism of \mathbb{Q} -PHS

$$T(X)_{\mathbb{Q}} \cong T({}^{\sigma}X)_{\mathbb{Q}}.$$

We are left to prove the following.

Theorem

Let X/\mathbb{C} be a K3 surface such that for all $\sigma \in \text{Aut}(\mathbb{C}/\overline{\mathbb{Q}})$ we have an isomorphism of \mathbb{Q} -PHS

$$T(X)_{\mathbb{Q}} \cong T(\sigma X)_{\mathbb{Q}}.$$

Then X admits a model defined over $\overline{\mathbb{Q}}$.

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Proof.

For the first point, use the fact there X admits only finitely many Fourier-Mukai partners (Mukai).

For the second, use that K3s (with some extra structure) have a fine moduli space defined over $\overline{\mathbb{Q}}$ (Rizov). □

How to get rid of the extension L/K ?

Question

Assume that \mathcal{M}_K is a semisimple neutral Tannakian category over \mathbb{Q} . Let $M \in \mathcal{M}_K$ be a simple motive defined over some number field K . Assume there exists a finite extension L/K such that M_L is isomorphic to the transcendental part of the motive of Y_L , a K3 surface defined over L . Is there a K3 surface X defined over K such that

$$t_2(X) \cong M \in \mathcal{M}_K.$$

Proposition

Let K be a number field, and assume that the category \mathcal{M}_K is a semisimple neutral Tannakian category over \mathbb{Q} . Let $M \in \mathcal{M}_K$ be a simple motive such that, after a finite extension L/K ,

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Then there exists an abelian variety A/K such that

$$M \cong H_1(A) \in \mathcal{M}_K.$$

Faltings proved that the following functor is full (and faithful):

$$H_1(-) : \mathcal{AV}_K^0 \rightarrow \mathcal{M}_K, \quad B \mapsto H_1(B).$$

Consider the K -ab. var. $\text{Res}_{L,K}(A_L)$ and notice that $H_1(\text{Res}_{L,K}(A_L))$ corresponds to $\text{Ind}_L^K(H_1(A_L))$.

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$$\text{Hom}_{\mathcal{M}_K}(M, \text{Ind}_L^K(H_1(A_L))) \neq 0.$$

Since M is simple, an element in such Hom-set realizes M as a direct summand of $H_1(\text{Res}_{L,K}(A_L))$ in \mathcal{M}_K , therefore in \mathcal{AV}_K^0 .

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Otherwise stated there exists an endomorphism of $\text{Res}_{L,K}(A_L)$ whose image is an abelian variety A/K such that $H_1(A) \cong M \in \mathcal{M}_K$.

THANKS FOR YOUR
ATTENTION!